

**State Energy Efficient Appliance Rebate Program
Questions and Answers from SEO Reporting Webinar
December 10, 2009**

| Question | Answer / Comment |
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| Job Creation | |
| What type of jobs should be counted for reporting to OMB? | <p>OMB is interested in direct labor hours paid for with federal ARRA funds. This would include State staff, as well as the labor hours of hired implementation contractors. Hours worked and paid for with State matching funds should <u>not</u> be reported to OMB.</p> <p>The hours worked should be converted to FTE. More information on the steps for reporting jobs to OMB can be found in OMB Memorandum M-10-08, which can be found on DOE's recovery reporting web page: www.energy.gov/recovery/ARRA_Reporting_Requirements.htm</p> <p>States do not need to track "indirect" jobs, such as those of manufacturers, retailers, installers, etc., nor those of firms providing in-kind support (e.g., retailer marketing).</p> |
| Will DOE require different calculations for jobs created? | DOE is considering establishing separate guidance for jobs reporting, but this has not yet been finalized. DOE will notify the States/Territories if/when a change takes effect. |
| If a State is not using any of its ARRA-SEEARP award for administration costs, then does the State need to report jobs to OMB? | No. If no federal ARRA dollars are going toward labor costs, then no jobs reporting to OMB is required. |
| Does it matter which State the jobs are created in? Should we only report jobs created in our own State? | The jobs can be created anywhere. For example, a State may be hiring an implementation contractor based in another State to process its rebates. The direct labor hours for that contractor should be included. |
| Reporting Timing & Procedures | |
| What is the difference between the regular program progress reports and the special progress report due on July 30, 2010? | The regular quarterly progress reports will include summary data, such as the number of rebates paid for each product type. The special progress report will include all of the individual rebate transactions, absent any consumer names, street addresses, etc. DOE recognizes the special progress report could be a very large spreadsheet with thousands of rows. |
| Will the DOE reports be done through PAGE? Will a template be available soon to share with the third party vendor gathering this data? | DOE will provide an excel template for the quarterly program progress reports. The excel file will be submitted to DOE via e-mail. DOE will make the template available in mid January. |

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| Do we need to report for October – December 2009 if we didn't pay any rebates in 2009? | Yes. All States/Territories had received their grant funding by the end of December, so DOE expects that most will have financial spending information to report. In addition, in the quarterly progress reports States will need to identify key milestones accomplished during the October through December time period (e.g., hired implementation contractor, launched state web site, etc.) even if no rebate payments were made. |
| Reporting Content & Guidelines | |
| For the areas for which DOE is requesting estimates in submitted reports (including the final report), are there any official guidelines that DOE could supply for estimating these values? What would DOE consider adequate when reviewing these estimates during an audit? | The quarterly reports will ask States to provide information on the number of appliances hauled-away for proper disposal and the number recycled. If disposal or recycling is not required under the State's program, estimates should be reported. States can decide how best to develop these estimates, but may consider consulting with local retailers, local solid waste agencies, and local recyclers. |
| What is the difference between haul-away/disposal and recycling? | <p><u>Haul-away/Disposal</u>: The old product being replaced is removed from the home and disposed of properly so it cannot be refurbished or re-sold. "Proper disposal" means that the old product is handled according to all federal and state waste management laws, which at a minimum means refrigerants are captured. Proper disposal does not include recycling.</p> <p><u>Recycling</u>: The old product being replaced is removed from the home and recycled. "Recycling" means that the product is de-manufactured and processed through a recycling facility. All hazardous materials (e.g., refrigerants, mercury, oils, and PCBs) are handled in accordance with Federal, state, and local laws. All recyclable materials (e.g. plastics, metals, and glass) are sorted and reprocessed into raw materials for future reuse.</p> |
| When reporting additional rebates paid in addition to SEARP rebates, is DOE expecting actual rebate payments, or rebates that are available to the consumer? | For the special progress report (both July 30, 2010 and final version submitted at conclusion of the program) DOE is asking States to provide info related to each rebate transaction. Since some States will not have a mechanism in place to match SEEARP rebate transactions with utility rebate transactions, this component of the special progress report is optional. However, for the Final Progress Report, all States must provide an estimate of the approximate number of SEEARP rebates that overlapped with other utility-funded rebates. This analysis will be used to estimate the incremental net benefits achieved through the SEEARP rebate funds. |

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| Miscellaneous | |
| <p>In a previous webinar through the DOE, there was mention of outreach (via webinar) targeting big box appliance vendors. Has that taken place or is that still being planned? If yes, is the attendee list going to be made available for direct state outreach?</p> | <p>DOE held a webinar with retailers/manufacturers on December 16 to provide a summary of the approved state programs.</p> <p>The contact list of retailers, manufacturers, and recyclers that DOE is using for program communications was distributed to the States via e-mail on January 11, 2010. If you need a copy, contact your D&R account manager.</p> |